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Attorney Docket No. 2051/US Express Mail Label No. EV 410 060 322 US

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Confirmation No.: 4412

Thomas G. Faludy et al.

Group Art Unit: 3634

Appln. No. 10/643,444

Examiner: Not Yet Assigned

Filed: 18 August 2003

For:

RETRACTABLE AWNING WITH LIGHT

AND SOUND FEATURES

# CERTIFICATE OF MAILING BY EXPRESS MAIL

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The undersigned hereby certifies that the following documents:

- 1. Information Disclosure Statement Under 37 C.F.R. § 1.97(b)(3) and § 1.98;
- 2. Information Disclosure Statement by Applicant (Form PTO-1449);
- 3. Certificate of Mailing by Express Mail; and
- 4. Return Card,

relating to the above application, were deposited as "Express Mail," Mailing Label No. EV 410 060 322 US with the United States Postal Service, addressed to Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this \_\_\_\_ day of \_\_\_\_ ecc. \_\_\_\_ 2003.

Date: 12 1/2003

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# INFORMATION DISCLOSURE STATEMENT Under 37 C.F.R. 1.97(b)(3) and 1.98

Sir:

The Information Disclosure Statement submitted herewith is being filed before the mailing date of a first Office action on the merits.

The Examiner is requested to consider the references noted on the enclosed Form PTO-1449 during examination of the above-identified patent application. These references are submitted for the Examiner's consideration and are submitted pursuant to the duty of disclosure under 37 C.F.R. § 1.56. In submitting these references, no representation is made or implied that the references are or are not material to the examination of the application. The Examiner is encouraged to make his or her own determination of materiality. Pursuant to 37 C.F.R. § 1.98(a)(2)(i), copies of the references are not provided herewith.

Pursuant to 37 C.F.R. § 1.97(b)(3), no fees are due with respect to this filing. However, if any fees are deemed necessary, such fees may be charged to Deposit Account No. 04-1415.

# Attorney Docket No. 2051/US Express Mail Label No. EV 410 060 322 US

If the examiner has any questions, please contact the undersigned attorney.

Dated: <u>Mov. 30, 2003</u>.

Respectfully submitted,

Gary M. Polumbus, Reg. No. 25,364

DORSEY & WHITNEY LLP

370 Seventeenth Street, Suite 4700

Denver, CO 80202-5647

Tel.: (303) 629-3400 Fax: (303) 629-3450

USPTO Customer No. 20686

Enclosures

cc: Carefree/Scott Fetzer Company

IP Docketing-DV

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE (Modified) PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use several sheets if necessary)

ATTY. DOCKET NO. 2051/US	APPLN. NO. 10/643,444	
APPLICANT: Thomas G. Faludy et al.		
FILING DATE	GROUP	_
18 August 2003	3634	

#### **U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
ļ	5,148,849	09/1992	Faludy	160	67	
	5,934,349	08/1999	Faller	160	127	
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		Fr. 1174-19-1				

## FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

	DOCUMENT	PUBLISHED				TRANSLATION	
	NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	YES	NO
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OTHER DOCUM	ENTS (Including Author, Title, Date, Pertinent Pages, Etc.)	
EXAMINER	DATE CONSIDERED	

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.